

香港聯合交易所有限公司  
(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED  
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

## 通告 CIRCULAR

Subject: **POST RELEASE TESTS FOR FIRMWARE UPGRADE OF HKEX BACKBONE NETWORK FOR SECURITIES MARKET SYSTEMS**

Enquiry: **Participant General Enquiry Hotline<sup>1</sup> (Tel: 2840 3626 E-mail: [trd@hkex.com.hk](mailto:trd@hkex.com.hk))**

Further to the Exchange Circular (Ref. No.: [CT/154/23](#) & [OPS/CS/010/23](#)), the Exchange is pleased to announce that following the successful completion of two Practice Sessions, the new firmware version of HKEX backbone network for OTP-C, OTP-CSC, OMD- C, and OMD-CC will be rolled out in production by three phases. To ensure a smooth rollout, three **optional Post Release Tests (PRTs)** will be arranged on **06 January 2024 (Saturday)**, **13 January 2024 (Saturday)** and **20 January 2024 (Saturday)** for Exchange Participants (EPs) and China Connect Exchange Participants (CCEPs) to verify their systems.

All EPs and CCEPs are encouraged to participate in the PRTs. Interested EPs and CCEPs are required to complete the registration form ([Attachment 1](#)) and return it to the Exchange **on or before 29 December 2023 (Friday)**. A detailed activity rundown of the PRT will be provided to the registered EPs and CCEPs separately. EPs relying on information vendors to provide market data updates for trading purpose should liaise with their respective information vendors for providing market data support during the PRTs.

Testing Focuses in PRT1, PRT2 and PRT3 are as below:

PRT	Date & Time	Testing Focus
PRT1	06 January 2024 09:00 to 12:10	<u>Upgrade Primary network components at the Primary Site:</u> EPs: <ul style="list-style-type: none"><li>Login to OTP-C and simulate daily operations</li></ul> CCEPs: <ul style="list-style-type: none"><li>Limit to login to OTP-CSC only</li></ul>

<sup>1</sup> All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available [here](#).

PRT	Date & Time	Testing Focus
PRT2	13 January 2024 09:00 to 12:10	<u>Upgrade Primary and Secondary network components at the Primary Site:</u> EPs: <ul style="list-style-type: none"> <li>• Login to OTP-C and simulate daily operations</li> </ul> CCEPs: <ul style="list-style-type: none"> <li>• Login to OTP-CSC only</li> </ul>
PRT3	20 January 2024 09:00 to 12:10	<u>Upgrade all remaining network components in both Primary and Backup sites:</u> EPs: <ul style="list-style-type: none"> <li>• Login to OTP-C and simulate daily operations</li> </ul> CCEPs: <ul style="list-style-type: none"> <li>• Login to OTP-CSC only</li> </ul>

The Exchange would like to take this opportunity to thank EPs and CCEPs for their participation in supporting the firmware upgrade of the backbone network for HKEX systems.

**Jacqueline Ting**  
**Vice President**  
**Client Services Department**  
**Operations Division**